



SRL:SEC:SE:2023-24/09

April 19, 2023

The Manager Listing Department, National Stock Exchange of India Limited Exchange Plaza, 5<sup>th</sup> Floor Plot No. C/1, G-Block Bandra-Kurla Complex Bandra (East), <u>Mumbai – 400 051</u> **(Symbol: SPENCERS)**  The General Manager Department of Corporate Service, BSE Limited Phiroze Jeejeebhoy Tower Dalal Street <u>Mumbai – 400 001</u> (Scrip Code: 542337)

Dear Sir/Madam,

## Sub:- Shareholding pattern for the quarter ended March 31, 2023

Pursuant to Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing herewith the shareholding pattern of the Company for the quarter ended March 31, 2023.

Kindly take the aforementioned information on record and oblige.

Thanking you. For Spencer's Retail Limited

Vikash Kumar Agarwal Company Secretary & Compliance Officer

Encl.: As Above

## Spencer's Retail Limited

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

	Listed Entity: Spencer's Retail Limited	
Scrip Cod	e/Name of Scrip/Class of Security: BSE-542337 AND NSE-SPENCERS/ Equity Shares	
Share Ho	lding Pattern Filed under: Reg. 31(1)(b) for the quarter ended March 31, 2023	
Declarati	on: The Listed entity is required to submit the following declaration to the extent of submission of information:-	
SI. No.	Particulars	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?	No
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No
4	Whether the Listed Entity has any shares in locked-in?	No
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No
6	Whether Company has equity shares with differential voting rights?	No
7	Whether the listed entity has any significant beneficial owner?	No

Spencer's	Retail Limited																	
Table I - Su	ummary Statement holding of spe	cified securities																
Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares	Depository	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR,	Number of Votin	g Rights held of Voting Righ		Total as a	Underlying Outstanding convertible securities	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of	in s	shares As a % of	plec oth encu	As a % of	Number of equity shares held in dematerialised form
				held	Receipts		1957)	Class eg: X	Class eg: y	Total	% of (A+B+C)	(including Warrants)	diluted share capital)	No. (a)	Shares held(b)	No. (a)	Shares held(b)	ionii
(I)	(II)	(111)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)		(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(	[XII)	(	xIII)	(XIV)
(A)	Promoter & Promoter Group	14	5,30,08,514	-	-	5,30,08,514	58.81	5,30,08,514	-	5,30,08,514	58.81	-	58.81	-	-	-	-	5,30,08,514
(B)	Public	60,211	3,70,03,495	-	-	3,70,03,495	41.05	3,70,03,495	-	3,70,03,495	41.05	-	41.05	-	-	-	-	3,62,88,629
(C)	Non Promoter - Non Public			-	-				-			-		-	-	-	-	
(C1)	Shares Underlying DRs	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(C2)	Shares Held By Employee Trust	1	1,20,000	-	-	1,20,000	0.13	1,20,000	-	1,20,000	0.13	-	0.13	-	-	-	-	1,20,000
	Total	60,226	9,01,32,009	-	-	9,01,32,009	100.00	9,01,32,009	-	9,01,32,009	100.00	-	100.00	-	-	-	-	8,94,17,143

Spence	er's Retail Limited																			
	I - Statement showing shareholding patter	n of the Promoter a	and Pro	omoter Group																
				Nos. of	No. of fully	Partly paid-up	No. of shares	Total nos.	Shareholding % calculated	Number of	Voting Right secur	s held in each c ities		No. of Shares Underlying Outstanding	Shareholding , as a % assuming full conversion of		r of Locked shares	pled othe	of Shares ged or erwise nbered	Number of equity shares
Sl. No.	Category & Name of the shareholders	Entity Type	PAN	shareholders	paid up equity shares held	equity shares held	underlying Depository Receipts	shares held	as per SCRR, 1957 As a % of (A+B+C2)	No Class eg: X	of Voting Rig Class eg: y	thts Total	Total as a % of Total Voting	convertible securities (including Warrants)	convertible securities ( as a percentage of diluted share	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	held in dematerialised form
	(1)		(11)	(111)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)		(IX		Rights	(X)	capital) (XI)= (VII)+(X) As a % of (A+B+C2)	(	(XII)	()	(111)	(XIV)
1	Indian																			
(a)	Individuals / Hindu Undivided Family			5	1,93,265		-	1,93,265		1,93,265		1,93,265	0.21	-	0.21	-	-	-	-	1,93,26
	Sanjiv Goenka	Promoter		1	91,659			91,659	0.10	91,659	-	91,659	0.10	-	0.10	-	-	-	-	91,65
	Shashwat Goenka	Promoter Group		1	15,150	-		75,756	0.08	75,756	-	75,756	0.08	-	0.08	-	-	-	-	75,75
	Preeti Goenka	Promoter Group		1	17,150	-	-	17,150	0.02	17,150	-	17,150	0.02	-	0.02	-	-	-		17,15
	Sanjiv Goenka Huf	Promoter Group		1	0,000		· ·	8,360	0.01	8,360		8,360	0.01	-	0.01	-	-	-	-	8,36
	Avarna Jain	Promoter Group		1	340	-		340	0.00	340	-	340	0.00	-	0.00	-	-	-	-	34
(b)	Central Government / State Government(s)			-	-	-	_	-	-	-		-	-	-	-	-	-	-	-	
(c)	Financial Institutions / Banks			-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(d)	Any Other (Specify)			9	5,28,15,249		-	5,28,15,249				5,28,15,249	58.60	-	58.60	-	-	-	-	5,28,15,24
	Bodies Corporate			9	5,28,15,249		-	5,28,15,249	58.60		-	5,28,15,249		-	58.60	-	-	-	-	5,28,15,24
	Rainbow Investments Limited	Promoter		1	3,96,04,042	-		3,96,04,042	43.94	3,96,04,042	-	3,96,04,042	43.94	-	43.94	-	-	-	-	3,96,04,04
	Stel Holdings Limited	Promoter Group		1	43,96,082	-		43,96,082	4.88	43,96,082	-	43,96,082	4.88		4.88	-	-	-	-	43,96,08
	Integrated Coal Mining Limited	Promoter Group		1	24,56,247	-	-	24,56,247	2.73	24,56,247	-	24,56,247	2.73		2.73	-	-	-	-	24,56,24
	Castor Investments Limited	Promoter Group		1	23,90,661		-	23,90,661	2.65	23,90,661	-	23,90,661	2.65		2.65	-	-	-	-	23,90,66
	Quest Capital Markets Limited	Promoter Group		1	17,41,508	-	· ·	17,41,508	1.93	17,41,508	-	17,41,508	1.93		1.93		-	-	-	17,41,50
	PCBL Limited	Promoter Group		1	11,46,613	-	· ·	11,46,613	1.27	11,46,613	-	11,46,613	1.27		1.27	-	-	-	-	11,46,61
	Saregama India Limited	Promoter Group		1		-	· ·	10,50,590	1.17	10,50,590	-	10,50,590	1.17		1.17	-	-	-		10,50,59
	Dotex Merchandise Private Limited	Promoter Group		1	20,10,	-	· ·	28,107	0.03	28,107	-	28,107	0.03	-	0.03	-	-	-	-	28,10
	Lebnitze Real Estates Private Limited	Promoter Group		1	1,399	-	· ·	1,399	0.00	1,399	-	1,399	0.00	-	0.00	-	-	-	-	1,39
	Kolkata Metro Networks Limited	Promoter Group		-	-	-		-	-	-	-	-	-	-	-	-	-	-	-	
	Sub Total (A)(1)		-	14	5,30,08,514		· ·	5,30,08,514	58.81	5,30,08,514	-	5,30,08,514	58.81	-	58.81		-	-	-	5,30,08,51
2	Foreign																			
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)			-	-	-	-	-	-	-	-	-	-	-	-	-	_	-	-	
(b)	Government			-	-	-	-	-	-	-	-		-	-	-	-	-	-	-	
(c)	Institutions			-	-	-	-	-	-	-	-		-	-	-	-	-	-	-	
(d)	Foreign Portfolio Investor			-	-	-		-	-	-	-	-	-	-	-	-	-	-	-	
(e)	Any Other (Specify)			-	-	-		-	-	-	-	-	-	-	-	-	-	-	-	
	Sub Total (A)(2)			-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)			14	5.30.08.514	_		5,30,08,514	58.81	5,30,08,514		5,30,08,514	58.81	_	58.81	_	_	-	_	5,30,08,51

Spencer's Retail Limited

Annexure to Table II- Details of Shares which remain unclaimed for Promoter & Promoter Group

	Deta	ils of Shares which remain uncla	aimed for Promoter & Pron	noter Group						
Serial No.	Number of shareholders	Outstanding shares held in demat or unclaimed suspense account	Voting rights which are frozen	Disclosure of notes on shares which remain unclaimed for public shareholders						
	NIL									

Spencer's	s Retail Limited																					-
Table III -	Statement showing shareholding pattern of the Put	olic sha	areholder																			
				No. of fully paid	Partly paid-up	No. of shares		Shareholding % calculated	Number of	Voting Right: securi	s held in each ties	class of	No. of Shares Underlying Outstanding	Shareholding , as a % assuming full conversion		nber of I in shares	pled othe	r of Shares Iged or erwise mbered	Number of equity shares	Sub-cate	gorization	of shares
Sl. No.	Category & Name of the shareholders	PAN	Nos. of shareholders	up equity shares held	equity shares	underlying Depository	Total nos. shares held	as per SCRR, 1957 As a % of	No	of Voting Rig	hts	Total as a % of	convertible securities	of convertible securities ( as a		As a % of total		As a % of total	held in dematerialised	Shareho	lding(No. o under	of shares)
					held	Receipts		(A+B+C2)	Class eg: X	Class eg: y	Total	Total Voting Rights	(including Warrants)	percentage of diluted share capital)	No. (a)	Shares held(b)	No. (a)	Shares held(b)	form	Sub- category(		Sub- category
	(1)	(11)	(111)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)		(IX)	)	- aginto	(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(	(XII)	()	xiii)	(XIV)	i)	ii) (XV)	iii)
1	Institutions (Domestic)																					<u> </u>
(a)	Mutual Fund		6	3,41,671	-	-	3,41,671	0.38	3,41,671	-	3,41,671	0.38	-	0.38	-	-	-	-	3,40,030	-	-	<u> </u>
(b)	Venture Capital Funds		-		-	-			-		-	-	-	-	-		-		-			+
(c) (d)	Alternate Investment Funds Banks		17	- 3,706		-	3,706	0.00	3,706	-	3,706	0.00	-	- 0.00	-		-		- 401			+
(a) (e)	Insurance Companies		6			-	17,96,246	1.99	3,706		3,706	1.99	-	1.99		-		-	17,96,126	-	-	<u>+</u>
(0)	Life Insurance Corporation Of India		1		-	-	13,26,769	1.47	13,26,769		13,26,769	1.55	-	1.47	-	-	-	-	13,26,769	-		-
(f)	Provident Funds/ Pension Funds		-			-				- 1			-		-	-	-	-				1
(G)	Asset Reconstruction Companies		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-			<u> </u>
(h)	Sovereign Wealth Funds		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-			1
(i)	NBFCs registered with RBI		2	2,820	-	-	2,820	0.00	2,820	-	2,820	0.00	-	0.00	-	-	-	-	2,820	-	-	-
(j)	Other Financial Institutions		2	1,380	-	-	1,380	0.00	1,380	-	1,380	0.00	-	0.00	-	-	-	-	-	-	-	-
(k)	Any Other (Specify)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-			
	Sub Total (B)(1)		33	21,45,823	-	-	21,45,823	2.38	21,45,823	-	21,45,823	2.38	-	2.38	-	-	-	-	21,39,377	-	-	-
2	Institutions (Foreign)																-	-				
(a)	Foreign Direct Investment		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-			<u> </u>
(b)	Foreign Venture Capital Investors		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-			
(c)	Sovereign Wealth Funds		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-			<u> </u>
(d)	Foreign Portfolio Investors Category I		11		-	-	64,96,120	7.21	64,96,120		64,96,120	7.21 3.40	-	7.21 3.40		-	-	-	64,96,120	-	-	
	India Insight Value Fund Habrok India Master Lp		1	, ,	-	-	30,60,000 15,53,698	3.40	30,60,000 15,53,698		30,60,000	3.40	-	3.40	-	-	-	-	30,60,000 15,53,698			<u> </u>
(0)	*Foreign Portfolio Investors Category II		3		-	-	10,22,408	1.72	10,22,408		10,22,408	1.12	-	1.72	-	-	-	-	10,22,408			<u>+</u>
(e)	Esvee Capital		1	., ,	-	-	10,22,408	1.15	10,22,408		10,22,408	1.13	-	1.13	-	-	-	-	10,00,000	-		<u> </u>
(f)	Overseas Depositories(holding DRs) (balancing figure)		-	-	-	-		-		_	- 10,00,000	-	_	-	-	-	-	-	-			
(g)	Any Other (Specify)		14	13,304	-	-	13,304	0.01	13,304	-	13,304	0.01	-	0.01	-	-	-	-	554	-	-	-
	Foreign Institutional Investors		9		-	-	3,720	0.00	3,720		3,720	0.00	-	0.00	-	-	-	-	-	-	-	-
	Foreign Bank		5		-	-	9,584	0.01	9,584		9,584	0.01	-	0.01	-	-	-	-	554	-	-	-
	Sub Total (B)(2)		28	75,31,832	-	-	75,31,832	8.36	75,31,832	-	75,31,832	8.36	-	8.36	-	-	-	-	75,19,082	-	-	-
3	Central Government/ State Government(s)																-	-				
(a)	Central Government / President of India		-	-	-	-		-	-	-	-	-	-	-	-	-	-	-	-			$\vdash$
(b)	State Government / Governor		2	6,301			6,301	0.01	6,301		6,301	0.01	-	0.01	-		-	-	5,010	-	-	<u> </u>
(C)	Shareholding by Companies or Bodies Corporate																					
	where Central / State Government is a promoter		- 2	- 6,301	-	-	6,301	-	- 6,301		6,301	0.01		-	-	-	-	-	- 5,010			<u> </u>
4	Sub Total (B)(3) Non-Institutions		2	0,301	-	-	6,301	0.01	6,301		0,301	0.01	-	0.01	-		-		5,010	-		<u> </u>
4 (a)	Associate companies / Subsidiaries			-		-		-	-		-		-	-	-	-	-		-			+
(a) (b)	Directors and their relatives (excluding Independent Directors and nominee Directors)																					
(C)	Key Managerial Personnel		-		-	-	-	-	-		-	-	-	_	-	-	-	-	_			1
(D)	Relatives of promoters (other than 'immediate relatives' of promoters disclosed under 'Promoter and Promoter Group' category)																					

(E)	Trusts where any person belonging to 'Promoter and Promoter Group' category is 'trustee','beneficiary', or 'author of the trust''	-	-	-	_	-	-		-	-	-	-	-	-	-	-	-	-			
(f)	Investor Education and Protection Fund (IEPF)	1	99,992	-	-	99,992	0.11	99,992	-	99,992	0.11	-	0.11	-	-	-	-	99,992	-	-	-
(g)	i. Resident Individual holding nominal share capital up to Rs. 2 lakhs.	56,929	1,62,83,770	-	-	1,62,83,770	18.07	1,62,83,770	-	1,62,83,770	18.07	-	18.07		-	-	-	1,59,32,620	-	-	-
(h)	ii. Resident individual holding nominal share capital in excess of Rs. 2 lakhs.	43	40,50,852	-	-	40,50,852	4.49	40,50,852	-	40,50,852	4.49	-	4.49	-	-	-	-	40,50,852	-	-	-
(i)	Non Resident Indians (NRIs)	1,092	9,53,386	-	-	9,53,386	1.06	9,53,386	-	9,53,386	1.06	-	1.06	-	-	-	-	7,02,486	-	-	-
(j)	Foreign Nationals	8	32,687	-	-	32,687	0.04	32,687	-	32,687	0.04	-	0.04	-	-	-	-	10,127	-	-	-
(k)	Foreign Companies	28	58,220	-	-	58,220	0.06	58,220	-	58,220	0.06	-	0.06	-	-	-	-	-	-	-	-
(I)	Bodies Corporate	514	38,67,853	-	-	38,67,853	4.29	38,67,853	-	38,67,853	4.29	-	4.29	-	-	-	-	38,56,403	-	-	-
	Ginni Finance Private Limited	1	9,28,000	-	-	9,28,000	1.03	9,28,000	-	9,28,000	1.03	-	1.03	-	-	-	-	9,28,000			
(m)	Any Other (Specify)	1,533	19,72,779	-	-	19,72,779	2.19	19,72,779	-	19,72,779	2.19	-	2.19	-	-	-	-	19,72,680	-	-	-
	Trusts	6	1,361	-	-	1,361	0.00	1,361	-	1,361	0.00	-	0.00	-	-	-	-	1,361	-	-	-
	Body Corp-Ltd Liability Partnership	27	7,28,242	-	-	7,28,242	0.81	7,28,242	-	7,28,242	0.81	-	0.81	-	-	-	-	7,28,242	-	-	-
	Hindu Undivided Family	1,473	11,09,659	-	-	11,09,659	1.23	11,09,659	-	11,09,659	1.23	-	1.23		-	-	-	11,09,560		-	-
	Unclaimed Shares	1	78,066	-	-	78,066	0.09	78,066	-	78,066	0.09	-	0.09		-	-	-	78,066		-	-
	Clearing Member	26	55,451	-	-	55,451	0.06	55,451	-	55,451	0.06	-	0.06	-	-	-	-	55,451	-	-	-
	Sub Total (B)(4)	60,148	2,73,19,539	-	-	2,73,19,539	30.31	2,73,19,539	-	2,73,19,539	30.31	-	30.31	-	-	-	-	2,66,25,160	-	-	-
	Total Public Shareholding (B)=																				
	(B)(1)+(B)(2)+(B)(3)+b(4)	60,211	3,70,03,495	-	-	3,70,03,495	41.05	3,70,03,495	-	3,70,03,495	41.05	-	41.05	-	-	-	-	3,62,88,629	-	-	-

\* Note : The categorisation of Foreign Portfolio Investors has been changed based on subcodes received from the depositories for the FPI Shareholders for proper classification. The above is in conformity with the discussions held with the depositories and the RTA of the Company

Spencer's Retail Lin	nited		
Annexure to Table	III- A- Details of the shareho	Iders acting as persons	in Concert for Public
Details of the share	holders acting as persons in	Concert for Public	
Serial No.	Name of the PAC	No of Shares	Percentage of shareholding by PAC
		NIL	

## Spencer's Retail Limited Annexure to Table III- B Details of Shares which remain unclaimed for Public along with such details such as number of shareholders, outstanding shares held in unclaimed suspense account, voting rights which are frozen etc. Details of Shares which remain unclaimed for Public Sl.No. Description Number of Shareholders Suspense account

1

78066

Unclaimed Shares in Suspense Account

1

Spe	encer's Retail Limited																		
Tab	le IV - Statement showing shareholding pattern of the	e Non F	Promoter- Non	Public share	holder														
			_	No. of fully paid up	Partly paid-up	No. of shares		Shareholding % calculated as		Voting Right securi		ch class of	No. of Shares Underlying Outstanding	Shareholding , as a % assuming full conversion of	Nur Locked	mber of d in shares	Share: or ot	nber of s pledged herwise mbered	Number of equity shares
SI. No.	Category & Name of the shareholders	PAN	Nos. of shareholders	equity	equity shares held	underlying Depository Receipts	Total nos. shares held	ner SCRR 1957		of Voting Rig	hts	Total as a % of	convertible	convertible securities ( as a percentage of		As a % of total	No. (a)	As a % of total	hold in
				neia	neiu	Receipts		(A+D+C2)	Class eg: X	Class eg: y	Total	(A+B+C)	(including Warrants)	diluted share capital)	NO. (a)	Shares held(b)	NO. (a)	Shares held(b)	Ionn
	(1)	(11)	(111)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)		(IX	)		(X)	(XI)= (VII)+(X) As a % of (A+B+C2)		(XII)	(	XIII)	(XIV)
1	Custodian/DR Holder		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Employee Benefit Trust / Employee Welfare Trust under SEBI (Share based Employee Benefits and																		
2	Sweat Equity) Regulations, 2021		1	1,20,000	-	-	1,20,000	0.13	1,20,000	-	1,20,000	0.13	-	0.13		-	-	-	1,20,000
	Total Non-Promoter- Non Public Shareholding (C)=																		
	(C)(1)+(C)(2)		1	1,20,000	-	-	1,20,000	0.13	1,20,000	-	1,20,000	0.13	-	0.13	-	-	-	-	1,20,000

Spencer	's Retai	l Limit	ed						
Table V	- Staten	nent sl	howing detail	s of signific	ant benef	icial owners			
	Detail o Benefic	•		Detail of R	Registered	Owner -II	Particulars of share interest is held by b	s in which significant beneficial eneficial owner -III	Date of creation /
Sr. No.	Name	PAN	Nationality	Name	PAN	Nationality	Number of shares	Shareholding as a % of total no of	acquisition of significant beneficial interest (IV)
	-	-				•	NIL	•	•

Table VI - Statement showing foreign ownership limits		
Particular	Approved limits (%)	Limits utilized (%)
As on shareholding date	50.00	8.46
As on the end of previous 1st quarter	50.00	9.16
As on the end of previous 2nd quarter	50.00	9.11
As on the end of previous 3rd quarter	50.00	8.83
As on the end of previous 4th quarter	50.00	8.66

Notes :-

1) "Approved Limits (%)" means the limit approved by Board of Directors / shareholders of the Listed entity. In case the listed entity has no Board approved limit, provide details of sectoral / statutory cap prescribed by Government / Regulatory Authorities

2) Details of Foreign ownership includes foreign ownership / investments as specified in Rule 2(s) of the Foreign Exchange Management (Non-debt Instruments) Rules, 2019, made under the Foreign Exchange Management Act, 1999.